

Alcohol, Tobacco & Firearms

Performance Plans for FY2002 and FY2003

Actual Performance Figures are in **bold**, Final Targets are in plain text, and Proposed Targets are *italicized*.

Targets which were not met are shown in red.

Mission: The Bureau of Alcohol, Tobacco and Firearms (ATF) is a law enforcement organization within the United States Department of the Treasury with a unique combination of responsibilities dedicated to reducing violent crime, collecting revenue, and protecting the public.

Budget Activity: Reduce Violent Crime

Function: The function of this activity is to counter violence through an integrated approach of effective enforcement of the Federal firearms, explosives, and arson laws, education, and prevention efforts.

Performance Goal:

- 1. Deny criminal access to firearms.
- 2. Safeguard the public from arson and explosives incidents.
- 3. Remove violent offenders from our communities.
- 4. Prevent violence through community outreach.

	FY1999 Actual	FY2000 Actual	FY2001 Actual	FY2002 Final Plan	FY2003 Proposed Plan
<u>Performance Measure(s):</u>					
View Definition and Validation					
Crimes related costs avoided (\$ in billions)	\$1.05	\$1.56	\$2.54	\$3.62	\$4.58
	View Explanation				

View Definition and Validation					
Number of future crimes avoided	542,560	743,706	1,223,000	1,574,000	1,992,000
	View Explanation				

View Definition and Validation					
Number of firearms trace requests	209,126	209,369	232,272	230,000	240,000
		View Explanation			

View Definition and Validation					
Average trace response time (in calendar days)	11.4	10.2	12.8	12.8	12.8
			View Explanation		

View Definition and Validation					
Number of persons trained in Integrated Violence Reduction Strategy (IVRS)		6,839	6,000		6,000

View Definition and Validation					
National Response Teams (NRT) customer satisfaction rating		97%	90%		90%

Budget Activity: Collect The Revenue

Function: Through this activity ATF plays a key role by collecting taxes and fees on alcohol, firearms, and ammunition. While it is important that the Government collect the revenue that is due, it is equally important that this revenue be collected with the least amount burden on the taxpayer. These responsibilities will be accomplished through the implementation of electronic government, automation of internal business applications, audits and inspections of taxpayers, and prevention of tax evasion.

Performance Goal:

1. Reduce taxpayer burden and improve service
2. Collect all the revenue that is rightfully due
3. Prevent tax evasion and other criminal conduct in the regulated industries

	FY1999 Actual	FY2000 Actual	FY2001 Actual	FY2002 Final Plan	FY2003 Proposed Plan
<u>Performance Measure(s):</u>					
View Definition and Validation					
Taxes/fees collected from alcohol, tobacco, firearms and explosives industries (\$ in billions)	\$12.1	\$14.1	\$14	\$13.6	<i>\$14.4</i>
	View Explanation				
View Definition and Validation					
Taxes and fees collected per dollar of collection expense	\$193	\$207	\$272	\$200	<i>\$211</i>
	View Explanation		View Explanation		
View Definition and Validation					
Percent of entities filing electronically			0%	1%	<i>1%</i>

Budget Activity: Protect The Public

Function: Through this activity ATF oversees the production and distribution of alcohol, firearms, ammunition, and explosives and ensures compliance through education, inspection and investigation. ATF relies on innovation, partnerships, and open communication to fully achieve this strategic goal. ATF works with industry, State governments, and others to make regulation less burdensome. ATF trains, informs, and assists these industry members and government agencies, as well as the public, with the goal of protecting the public and preventing consumer deception.

Performance Goal:

1. Ensure the integrity of the products, people and companies in the marketplace
2. Ensure compliance with laws and regulations through education, inspection, and investigation
3. Inform the public

	FY1999 Actual	FY2000 Actual	FY2001 Actual	FY2002 Final Plan	FY2003 Proposed Plan
<u>Performance Measure(s):</u>					
View Definition and Validation					
Number of corrections made to unsafe conditions and product deficiencies reported to ATF or discovered during ATF inspections	923	1,119	1,209	850	850
	View Explanation				
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View Definition and Validation					
Number of industry seminars held on ATF-regulated commodities	229	175	195	175	175

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Performance Measure

Crimes related costs avoided (\$ in billions)

Definition and Validation

Definition: The estimated costs saved by incarcerating armed career criminals and firearms traffickers.

Verification and Validation: Data for this measure is captured from monthly reports submitted by ATF's Field Divisions, as well as from automated Criminal Enforcement Management Information Systems.

Data Accuracy: Reasonably Accuracy

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Performance Measure

Number of future crimes avoided

Definition and Validation

Definition: The projected number of firearms crimes avoided by incarcerating armed career criminals and firearms traffickers. Crimes avoided are projected over the length of the defendant's sentences.

Verification and Validation: Data for this measure is captured from monthly reports submitted by ATF Field Divisions, as well as from automated Criminal Enforcement Management Information Systems.

Data Accuracy: Reasonably Accuracy

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Performance Measure

Number of firearms trace requests

Definition and Validation

Definition: The number of firearms trace requests submitted to ATF during the fiscal year.

Data Accuracy: Reasonably Accuracy

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Performance Measure

Average trace response time (in calendar days)

Definition and Validation

Definition: The average number of calendar days it takes ATF to complete a firearm trace request from the time the search is entered into the tracing system to the final disposition.

Data Accuracy: Reasonably Accuracy

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Performance Measure

Number of persons trained in Integrated Violence Reduction Strategy (IVRS)

Definition and Validation

Definition: This measure reflects the number of non-ATF personnel trained in ATF's Integrated Violence Reduction Strategy, including training in areas of Firearms, Arson and Explosives.

Verification and Validation: Data for this measure is captured from quarterly training reports received from ATF Field Division and Headquarters directorates. Reports are consolidated by ATF Office of Training and Professional Development.

Data Accuracy: Reasonable Accuracy

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Performance Measure

National Response Teams (NRT) customer satisfaction rating

Definition and Validation

Definition: This measure reflects customer satisfaction with the Nation Response Team deployments to arson and explosive incidents based on written on survey results.

Verification and Validation: Data for this measure is obtained through customer surveys distributed from ATF Headquarters following the closeout of each NRT activation. Surveys are provided to the head official of the agency most prominent in

the investigation. Results are tabulated for an overall rating to NRT responses for the year.

Data Accuracy: Reasonable Accuracy

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Performance Measure

Taxes/fees collected from alcohol, tobacco, firearms and explosives industries (\$ in billions)

Definition and Validation

Definition: This includes revenue collected through alcohol, tobacco, firearms and ammunition excise taxes, firearms and explosive license/permit fees, transfer taxes and special occupation taxes.

Verification and Validation: Data for this measure is obtained through tax systems at the National Revenue Center.

Data Accuracy: Reasonable Accuracy

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Performance Measure

Taxes and fees collected per dollar of collection expense

Definition and Validation

Definition: The amount of taxes and fees collected divided by the amount of resources expended to collect such taxes and fees.

Validation: Data for this measure is obtained through tax systems at the National Revenue Center and the net cost of operations for "Collect the Revenue" activities.

Data Accuracy: Reasonable Accuracy

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Performance Measure

Percent of entities filing electronically

Definition and Validation

Definition: The percent of alcohol, firearms, and tobacco customers and taxpayers that use electronic filing instead of paper filing.

Verification and Validation: Data for this measure will be collected thru tax systems at the National Revenue System.

Data Accuracy: Reasonable Accuracy

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Performance Measure

Number of corrections made to unsafe conditions and product deficiencies reported to ATF or discovered during ATF inspections

Definition and Validation

Definition: Tracks the number of corrections made to unsafe conditions found in the explosives and alcohol industries, either reported to ATF or found during inspection activities.

Verification and Validation: Data for this measure is collected by the ATF Public Safety Branch and is obtained through quarterly explosives tracking reports from ATF Field Divisions on the results of explosive inspections.

Data Accuracy: Reasonable Accuracy

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Performance Measure

Number of industry seminars held on ATF-regulated commodities

Definition and Validation

Definition: The number of seminars conducted with industry members, law enforcement and the public on the laws and regulations ATF enforces.

Verification and Validation: Information is obtained from each Field Division through retrieval of data from the N-SPECT

system, as well as reports generated from Headquarters components which conduct seminars.

Data Accuracy: Reasonable Accuracy

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Performance Measure: Average trace response time (in calendar days)

Explanation:FY2001

The increase in the average trace time is due to a 10 percent increase in the number of traces submitted to the National Tracing Center, a two percent increase in the number of traces requiring FFL Out-of-Business record checks, and modifications to our procedures to improve data quality.

STEPS TAKEN FOR FY 2002: Streamlining the data entry process, reorganizing personnel, and redesigning the current trace process in conjunction with a contracted efficiency expert; and developing key technologies anticipated to further reduce trace time.

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Performance Measure: Taxes and fees collected per dollar of collection expense

Explanation:FY2001

FMD calculates this ratio using numbers from two of ATF's audited financial statements, the Statement of Custodial Activity and the Statement of Net Cost. Each year, FMD prepares the Allocation Method for the Statement of Net Cost, which is a document that describes how the costs are allocated amongst ATF's three Activities. Using this Allocation Method, FMD directly traces/allocates the program costs into Reduce Violent Crime, Protect the Public, Collect the Revenue, or Costs not Assigned to Programs. Currently, FMD only allocates these costs at the end of the fiscal year.

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Performance Measure: Number of firearms trace requests

Explanation:FY2000

The National Tracing Center explanation for the straight line duplication of FY 99 trace results is that the expected increase in state and local participation in comprehensive crime gun tracing did not occur. Another contributing factor is the declining rate of firearms crime across the Nation.

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Performance Measure: Crimes related costs avoided (\$ in billions)

Explanation: FY1999

End of year projection is lower than mid-year figure because 1996 statistics are backed out of the cumulative totals at end of year. Defendants from that year will have completed their sentences, preventing ATF from claiming additional cost

savings to the public. Figures are tracked on a yearly basis.

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Performance Number of future crimes avoided

Explanation: FY1999

Measure:

End of year projection is lower than the mid year figure because 1996 statistics are backed out of cumulative totals at year end. Defendants from that year will have completed their prison sentences, preventing ATF from claiming additional

crimes avoided. The figures are tracked on a yearly basis.

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Explanation: FY1999

Performance Measure: Taxes/fees collected from alcohol, tobacco, firearms and explosives

industries (\$ in billions)

End of year projection is slightly lower than plan due to a decrease in collections, which could be attributable to a decrease in consumption. ***Actual does not meet target; Explanation Required.***

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Explanation: FY1999 **Performance Measure:** Taxes and fees collected per dollar of collection expense

The ratio will be tabulated at the end of the fiscal year when the yearly net cost statement is prepared. ***Actual does not meet target; Explanation Required.***

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Explanation: FY1999 **Performance Measure:** Number of corrections made to unsafe conditions and product

deficiencies reported to ATF or discovered during ATF inspections

Figures historically lag behind in the first half of the year due to seasonal fluctuations in the use of fireworks and the advent of warm weather.